

# COVID-19 basics

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<http://minato.sip21c.org/2019-nCoV-im3r.html>

Summary slide of basic knowledge of COVID-19 from personal memo written in Japanese.

[Note: Slides with (PV) mean personal view and lack enough evidence]

# What is pathogen of COVID-19?

(Lai C-C et al. <https://doi.org/10.1016/j.ijantimicag.2020.105924>)

- The pathogen of COVID-19 is SARS-CoV-2, which is the 7<sup>th</sup> corona virus for human host.
  - Among the corona viruses for human host, 4 types cause common cold.
  - Other 3 types are SARS-CoV, MERS-CoV, and currently prevailing SARS-CoV-2 (it was called as 2019-nCoV at first). The genomes of SARS-CoV and SARS-CoV-2 have similarity of 80%. A kind of bat CoV genome showed almost complete similarity with SARS-CoV-2 genome.
    - SARS-CoV originated from bat, through other animal, then human to human
    - MERS-CoV originated from camel, rarely human to human
    - SARS-CoV-2 originated from bat, probably through other animal, human to human
- Characteristics of SARS-CoV-2
  - Latent period (from infection to symptom) is 5 days in average (Linton et al. 2020)
  - Serial interval (between the occurrence of symptoms of first case and second case) is 4 days in average, which means infection occurs in presymptomatic period. Contact tracing is difficult (Nishiura et al., 2020)
  - About 80% of the infected persons are asymptomatic or showing mild symptoms.
  - The risk of severe symptoms or deaths depends on the patients age. Elderly and the people with underlying diseases show high risk, but some young patients without any underlying diseases may also die at much higher risk than influenza.
  - The periods from admission to the hospital to either getting well or death are about 20 days.
  - Because of no vaccine nor effective medicine, severe cases have to be treated by respirator or ECMO in ICU, otherwise die at high risk. The risk of death depends on medical standard.

# Severity

- The common indicator of severity is CFR (Case Fatality Ratio/Risk). It's the number of deaths caused by a disease divided by the number of confirmed cases of that disease.
  - CFRs for SARS, MERS, Spanish flu and Asian flu were 10%, 35%, 3% and 0.5%, respectively.
  - It is widely misunderstood that the CFR of seasonal flu is "less than" 0.1%. Actually, the estimates of confirmed cases of seasonal flu in Japan is about 10 million, and the number of death due to seasonal flu is 2000 to 3000, so that CFR is 0.02-0.03%.
  - The CFR of COVID-19 ranges from 1 to 10%.
    - The wide range of CFR is caused by large difference of examination ability, medical capacity, and the age distribution of the patients.
    - Like in South Korea and Germany, extensive RT-PCR examination for many people leads to large number of confirmed patients, subsequently smaller CFR estimates. In South Korea, younger patients with lower fatality shared majority of positives, which made CFR lower.
    - Like in Italy and France, if the number of patients went beyond the medical capacity with rapid increase (so-called "overshoot" in Japan, analogously with "overshoot" in ecology, which means excess population growth beyond the carrying capacity), CFR goes higher.
- In the case of COVID-19, as above mentioned, CFR depends on examination and medical capacity, so that the meaning of CFR is difficult to interpret. Based on the data from China, where the examination coverage was already assessed, estimated CFR ranged from 3% to 6% and the confirmed cases were considered to share about 10% of all infected patients, Infection Fatality Risk/Ratio (IFR) was suggested as 0.3-0.6%. IFR may be applicable as universal indicator of disease severity (Nishiura 2020).
  - The IFR of seasonal flu seems to range from 0.005 to 0.01%.

# Age dependency of CFR

Age-specific CFRs in Mainland China up to 11 Feb 2020.

<http://weekly.chinacdc.cn/en/article/id/e53946e2-c6c4-41e9-9a9b-fea8db1a8f51>

	Confirmed cases	Deaths	CFR(%)
0-9yr	416	0	0
10-19yr	549	1	0.2
20-29yr	3619	7	0.2
30-39yr	7600	18	0.2
40-49yr	8571	38	0.4
50-59yr	10008	130	1.3
60-69yr	8583	309	3.6
70-79yr	3918	312	8.0
80yr+	1408	208	14.8
All	44672	1023	2.3

- Age-specific CFR of Pandemic flu 2009
  - MHLW data in Japan (<https://www.mhlw.go.jp/bunya/kenkou/kekkaku-kansenshou04/dl/infu100608-03.pdf>)
    - 0-4yr 0.0007%
    - 5-9yr 0.0003%
    - 10-14yr 0.0001%
    - 15-19yr 0.0001%
    - 20-29yr 0.0005%
    - 30-39yr 0.0009%
    - 40-49yr 0.0031%
    - 50-59yr 0.0066%
    - 60-69yr 0.0147%
    - 70yr+ 0.0282%
  - NY city H1N1pdm2009 (<https://doi.org/10.1371/journal.pone.0011677>)
    - 0-17yr 0.0008-0.0012%
    - 18-64yr 0.0081-0.0132%
    - 65yr+ 0.0094-0.0147%

# Other severity factors than age

(Zhou F et al. 2020, Lancet, 2020Mar11)

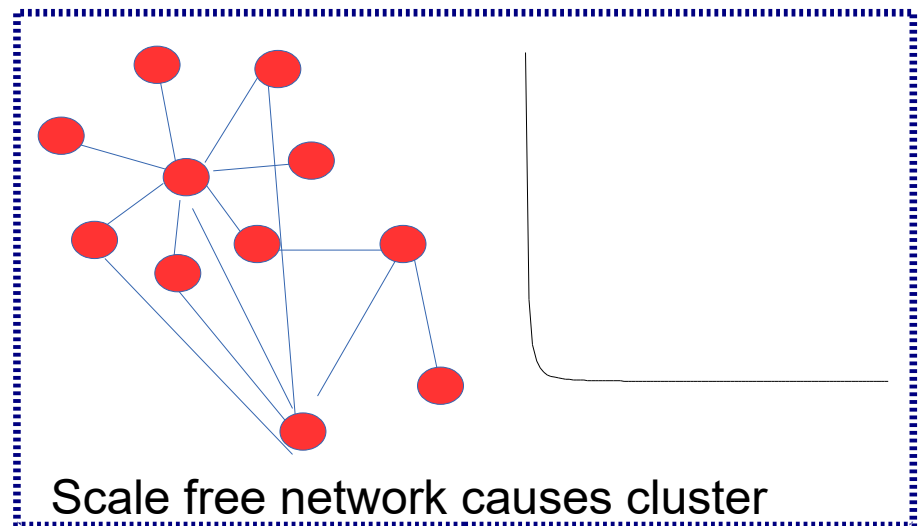
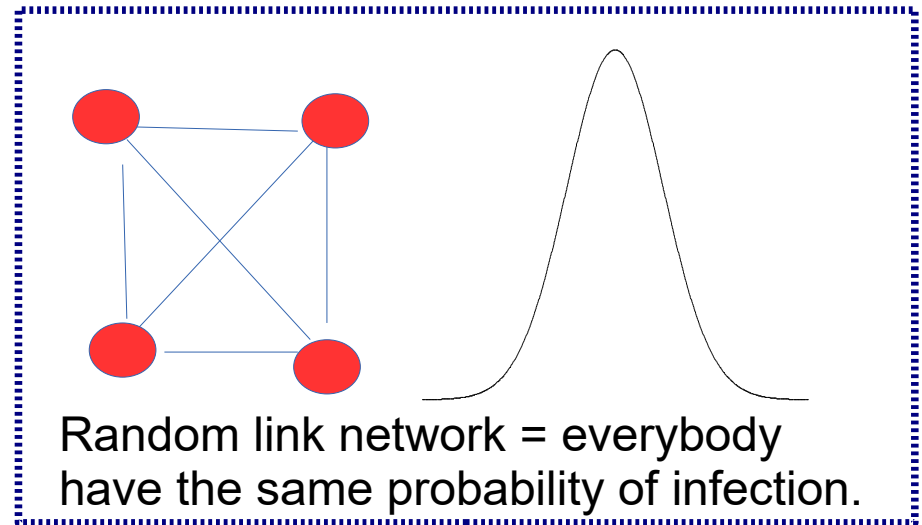
- Patients discharged or died from 2 hospitals in Wuhan until 31 Jan 2020, ages higher than 18 yr: 191 (137 discharged, 54 died; mean days from onset to admission were 11 days for each)
- Result from the multiple logistic regression analysis, higher risk of death was attributable to the following factors
  - Age (1.1 times by 1 age increase)
  - Higher SOFA score at admission (used to diagnose sepsis) (OR 5.65 , 95 % CI [2.61, 12.23])
  - Higher d-dimer than 1 $\mu$ g/mL (Comparing with less than 0.5 $\mu$ g/mL, OR18.42, 95 % CI [2.64, 128.55])
- As another result of this paper, for discharged patients, the median duration of virus shedding from onset was 20 days (IQR 17-24 days), but for died patients, virus shedding was observed until death.

# Infectiousness (ability of transmission)

- Commonly used measure of infectiousness is the Reproduction Number (R), which means the average number of secondary infected people from the first case.
  - In the beginning of epidemic, nobody has immunity. Under such situation, where everybody is susceptible, if one index case invades, R is called as Basic Reproduction Number ( $R_0$ ).  $R_0$  depends on the nature of pathogen, host behavior, and environment.
  - After the progress of epidemic, recovered (immune) people increase. Some of the population are vaccinated, immune people also increase. In either case, the proportion of susceptible people decreases and thus R decreases, which is called as Effective Reproduction Number ( $R_t$  or  $R_e$ ).  $R_t$  depends on  $R_0$ , protective measure, and the size of the population.
- If  $R < 1$ , the epidemic will be suppressed.
- The  $R_0$  of SARS was about 3, but the variance of  $R_0$  was very large because of many cluster infection occurred in the airplane or hospital, where superspreading event may occur. The  $R_0$  of MERS was generally less than 1 except for nosocomial infection ([https://www.who.int/csr/disease/coronavirus\\_infections/risk-assessment-august-2018.pdf](https://www.who.int/csr/disease/coronavirus_infections/risk-assessment-august-2018.pdf)).
- The  $R_0$  of Spanish flu was estimated around 2. The  $R_0$  of seasonal flu or Influenza (A) H1N1pdm2019 ranges 1.1-1.5 (eg. <https://www.ncbi.nlm.nih.gov/pmc/articles/PMC6670001/>).
- The  $R_0$  of COVID-19 was initially estimated as 1.4-2.5 based on the data obtained Wuhan (WHO, 23<sup>rd</sup> Jan 2020). With accumulation of data, the estimates of  $R_0$  largely varied by model or data, at highest, 6.47 (Tang B et al. 2020). However, inter-individual difference was very large, so-called "overdispersion" (Voltz E et al. 2020; Grantz K, Metcalf CJE 2020; Nishiura H et al. 2020).

# The routes of infection in SARS-CoV-2 (PV)

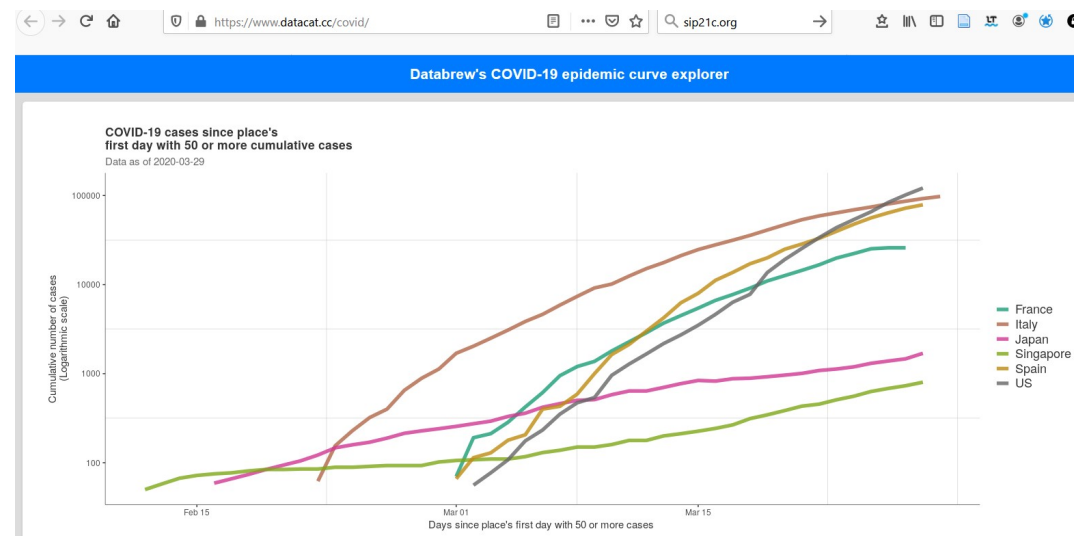
- Random link routes of infection, where everybody have the same risk of infection (same as flu)
  - Droplets: face-to-face conversation without mask with distance within 2m.
  - Contact with contaminated material surface: touch the material surface contaminated by droplets, saliva or something else excreted from patients
  - Fecal-oral: The viral shedding occurs to feces, so that without washing hands after defecation, the patients touch something, which become source of contact infection
- Scale free route of infection (The cause of overdispersion of R)
  - Cluster infection due to suspended micro-droplets, which likely occur under 3Cs env. See, the movie provided by NHK:  
<https://www3.nhk.or.jp/news/special/coronavirus/protection/?tab=3>
    - Closed space (poor ventilation)
    - Crowded (high population density)
    - Close contact with conversation



# The fewer number of testing in Japan than Europe/USA was caused by fewer patients

- Presumption
  - Testing performance is not perfect (RT-PCR cannot detect positive patients if the nasal/throat swab could not sample viral RNA particles)
  - Early detection cannot lead to effective early treatment to prevent severity or death
- The 3 purposes of RT-PCR to confirm infection (A is crucially needed, B is more effective than C when contact tracing is successfully conducted. If the disease becomes prevailing, B is difficult because it may exceed the capacity of manpower of health center)
  - (A) When doctor judged the necessity of confirmation from atypical pneumonia or other results of clinical inspection, to prepare ICU beds, respirator, and ECMO (WHO recommends, many countries incl. Japan do)
  - (B) Detect positive patients (incl. asymptomatic, or with only mild symptoms) from all closely contacted people with patients [active case detection] to prevent spread by isolation of detected cases. (Also WHO recommends, impossible after excess number of new patients as in Europe/USA, Singapore and Taiwan succeeded, Japan did until mid-March and try to continue)
  - (C) Widely examine suspected people to detect all cases (under low prevalence situation, efficacy is low) to prevent spread by isolation (South Korea and Germany did)

- Wrong doubt: The number of patients looks few due to limited testing
  - Until mid-March, the change of cases by date plotted by semi-log scale, Japan and Singapore showed shallower slopes from Europe and USA, but both groups showed linear relation. Linear relation in semi-log scale means exponential growth. <https://www.datacat.cc/covid/>
  - If untested cases are hidden behind the detected cases at a considerable probability, the slope doesn't change, only the intercept changes. **Shallower slope means smaller R.**





# Estimates of detected proportion and prevalence in Japan

- In the paper (2<sup>nd</sup> April 2020) linked from <https://www.uni-goettingen.de/en/606540.html>, Prof. Sebastian Vollmer from University of Goettingen estimates the proportion of detected cases among total patients.
- Methods
  - Assume that the number of reported death by COVID-19 is correct (Japan reported 56 on 31<sup>st</sup> March)
  - Assume that the age-specific IFR in the paper "Estimates of the severity of coronavirus disease 2019: a model-based analysis" in Lancet Infectious Diseases on 30<sup>th</sup> March 2020 by Verity R et al. (The groups of Imperial College London) is universally applicable.
  - Weighing by age-structure of each county from UN database, age-adjusted IFR is obtained (Japan is 1.60%).
  - Divide the number of death by age-adjusted IFR, then the number of total infected people in 2 weeks before is obtained (Japan on 17<sup>th</sup> March is 3490, which is  $56/0.016$ ).
  - Divide the number of confirmed cases (878 in Japan on 17<sup>th</sup> March) of each country by estimated total infected people, the proportion of detected cases among total patients is estimated.
- Results
  - It's rough estimate, **the proportion of detected cases in Japan on 17<sup>th</sup> March was about 25%**, which is third highest level following 49% of South Korea and 38% of Norway. Germany is 16%, Denmark is 13%. Many European counties incl. Italy, France, UK and USA are all less than 5%.
  - Assume that the proportion of detected cases doesn't change, divide the confirmed cases on 31<sup>st</sup> March (in Japan, it's 1953) by that value (0.25), the estimates of total infected cases can be obtained (in Japan, it's 7762). Divide it by the total population, the prevalence can be obtained (in Japan, it's 0.01%). By this method, the countries with the prevalence higher than 10% on 31<sup>st</sup> March were Spain and Turkey.
- According to the result of antibody test from 500 sample in a city of Germany, about 14% showed positive (MIT, <https://www.technologyreview.com/2020/04/09/999015/blood-tests-show-15-of-people-are-now-immune-to-covid-19-in-one-town-in-germany/> ). The important information is that remaining 86% is still susceptible.

# To continue the type (B) testing, "Digital Contact Tracing" may be effective

- Type (B) testing becomes difficult when the newly found cases (especially with unknown link) increased, because manual contact tracing requires huge time and effort of the professionals of health center, which exceed the capacity soon.
- If we can easily find the people who had close contact with the infected case, even after propagation of epidemic, type (B) testing can more effectively detect the infected cases than type (C) testing, and thus type (B) testing may have higher priority than type (C) testing.
- There may be a solution. It's the use of big data automatically collected by smartphone apps (<https://www.cnbc.com/2020/03/27/coronavirus-surveillance-used-by-governments-to-fight-pandemic-privacy-concerns.html>)
  - China seems to have enhanced the information collection system using GPS tracking and surveillance camera since Beijing Olympic. The report 11 from the group of Imperial College used the population movement data from GPS record by Baidu.
  - South Korea has a strong regulation based on the law of infection control, by which the movement of the infected cases using GPS data is visualized and opened to public.
  - In Singapore, the smartphone app "TraceTogether" is recommended to install for everybody. When the smartphone with the TraceTogether running comes close each other, the other's information without location information is automatically detected using bluetooth and recorded with time in each smartphone. The information is kept for 21 days within each smartphone. When a person is proved to be infected, it's possible to find all close contactees of the person during previous 21 days.
- According to the paper by the groups Prof. Fraser in Science (Ferretti et al., 2020), "digital contact tracing" using smartphone app may enable the suppression of epidemic to avoid "overshoot" without "lockdown". They also discussed ethical issue in that paper.

# Why the number of cases increased more slowly in Japan than Europe (PV)

- Infection via droplets or contact randomly occurs from one case to 0, 1, 2, or at most 3 secondary cases, so that the distribution of the number of secondary infection may obey normal distribution.
  - In Japan, the number may be mostly 0 or 1, so that the part of  $R_0$  due to this route of infection may be less than 1.
    - As cultural norm, personal space has been wider than Europe/USA (distant bow vs handshake/kiss/hug)
    - Clean piped water is available for everybody at very cheap price.
    - Handwashing with soap before school lunch is strongly forced in elementary school. In addition, handwashing has been strongly recommended since January.
  - In Europe/USA, the number may be mostly 1 or 2, so that the part of  $R_0$  due to this route may be more than 1.
    - In most European countries and USA, keeping the more than 2m inter-individual distance away from each other is recommended due to this reason.
- $R > 1$  leads to spread of epidemic.
- Actually, the distribution of the number of secondary infections from the first case may obey the mixture of normal distribution and power distribution representing cluster infection.
  - The cluster control group under the specialists committee of the government on 25<sup>th</sup> Feb set by Dr. Omi and Dr. Oshitani's leadership, in which Prof. Nishiura is responsible for analysis and modeling, tried to decrease  $R$  less than 1 by finding the common condition for cluster infection and preventing it.
    - They found 3 Cs (Closed environment, Crowded population, Close contact with conversation) and promoted campaign to prevent the 3 Cs (Nishiura et al., 3<sup>rd</sup> March 2020 at preprint server).
  - This "prevention" of 3 Cs was specially featured in Japan's strategy.
  - To prevent cluster infection, banning mass-gathering event is conducted in most countries.
- **Until mid-March, probably due to low mean level of the part of  $R_0$  due to contact/droplet infection and the cluster control strategy, Japan could suppress the number of infected cases.**

# Why the increase of cases accelerated after late March (PV)

- The global basic strategy to tackle infectious diseases is IHR2005 (International Health Regulations 2005 revision). It includes the minimizing the restriction of trade and traffic. Complete closure of each country is ideal to prevent pandemic, but it's impossible, because the social system largely depends on the international traffic and trades.
- The first wave of COVID-19 patients influx to Japan from China was suppressed by "cluster-control". However, the number of new patients has rapidly increased since the late March.
- The second wave of COVID-19 patients (mostly asymptomatic) influx from Europe/USA was much larger than the first wave. It caused rapid increase of newly confirmed cases without links since late March.
  - According to the opinion by Prof. Oshitani, the second wave caused many hidden clusters, then each one sporadic case was detected from each hidden cluster. Since rapid increase of sporadic cases means increase of hidden clusters, active case detection by contact tracing and isolation becomes impossible. Remaining possibility of suppression is to decrease the human-to-human contact by 80% (According to Prof. Nishiura, 79% based on the detailed model analysis) by emergency declaration.
  - The virus attacked Japan in the second wave has mutated from the original virus and got higher infectiousness (?).
  - (My PV) If the increased cases due to the second wave was not the part of hidden cluster but the result of hidden chain of random link due to droplets or contact route, there is a possibility that the cluster control is still effective. (It's possible to check by making the model including the different distribution for different route of infection and movement.)
- Essential problem in cluster control strategy
  - Though the some workplaces with 3 Cs can be modified to prevent cluster infection (of course, guaranteeing the basic income or other life support is necessary), but other workplaces especially lifelines, hospital, elderly care center and day service cannot avoid 3 Cs as their own nature. Most cluster infection after the end of March was shared by such essentially vulnerable workplace or the places to be hidden with hesitation (in relation to so-called nightlife). 80% reduction strategy consider those as inevitable part of 3 Cs. Break-through for this issue is expected.
  - For most people, long-term "self-regulation" to avoid 3 Cs and human-to-human contacts is impossible. The government should take huge financial support.
- In early April, to prevent "overshoot", the prime minister issued emergency declaration on 7<sup>th</sup> April (He said it being different from lockdown), 80% reduction of human-to-human contact (based on the calculation by cluster control group) was asked for the citizens strongly.

# For the safe campus life

- According to the announcement from Ministry of Education, Culture, Science and Technology ([https://www.mext.go.jp/content/20200324-mxt\\_kouhou01-000004520\\_4.pdf](https://www.mext.go.jp/content/20200324-mxt_kouhou01-000004520_4.pdf), in Japanese), lectures in the University can take various methods including remote learning via Internet.
  - Remote learning is strongly recommended.
  - It may last for a year, the student should prepare PC and the conditions for networking at home.
  - In special cases, the students can go to the campus (except for lockdown situation). But the students have to keep properly modified behavior listed below.
- Needed behavior
  - To avoid infection from yourself to others (even if you have no symptom, you may have virus in your body)
    - Don't go out and stay home if you feel, even slightly, ill (If your symptom seems severe, you should consult with health center or physician by phone). Wear mask always when you talk
  - To prevent the infection by droplets or contact
    - Frequent proper handwash, social distancing to keep yourself away from others by at least 2m, avoidance of face-to-face talk
    - Any private conversation in the class is prohibited
  - To avoid cluster infection
    - Prevent 3 Cs (Closed environment with poor ventilation, Crowded population and Close contact with conversation).
    - Keep door and window opened during the class.
    - See, Notice from WHO  
<https://www.who.int/docs/default-source/coronaviruse/getting-workplace-ready-for-covid-19.pdf>
- <Important thing> Even if you pay attention to avoid infection, you may have non-zero risk of infection. It's same for anybody else. Therefore, stigmatization or discrimination of infected cases is strongly prohibited.

# How long will it continue? At least 1 year.

- Until the vaccine or specific drug with high efficacy become widely available (Ultimate solution)
  - At least 1 year and half or 2 years, or more.
  - There is no guarantee to reach this goal, because there are many infectious diseases without effective vaccines though huge efforts were paid to do so for decades.
- Until the population establish herd immunity (Bad end)
  - According to the projection by Prof. Marc Lipsitch on 15<sup>th</sup> Feb, COVID-19 will infect 40-70% of the world population in 1 year, herd immunity will be established and  $R_t$  becomes less than 1, then pandemic will end.
    - However, at least  $7.5 \text{ billion} \times 0.4 \times 0.003 = 9 \text{ million}$  will die.
    - If "overshoot", which means explosive increase of new cases and exceeds the capacity of medical treatment, will occur in many countries, at worst,  $7.5 \text{ billion} \times 0.7 \times 0.01 = 52.5 \text{ million}$  will die.
- Until the number of newly infected cases decreases under a certain level without herd immunity (It's not ultimate suppression)
  - The No.9 report from the Imperial College of London, written by Prof. Neil Ferguson and colleagues showed the possibility to control the epidemic for 1.5-2 years under the capacity of medical treatment by repeated suppression (combination of several behavioral changes) for 1-3 months. Their model was individual based simulation model using actual contact frequency indicator and population density by region, modified from a kind of flu-model, and thus overestimating the effect of school closure, but solo-intervention by school closure only reduces total infected cases by 2%.
  - Since the community mitigation measures such as social distancing to reduce the number of death postpone the timing of the peak of the epidemic curve, the pandemic will continue longer than 1 year.
  - In addition, this strategy cannot lead to herd immunity in the total population, so that the behavioral change to avoid explosive increase of the infection has to be continued even after suppression.